

From: GARDNER GROFF PC

770 984 0098

11/17/2010 15:47 #026 P.006/016

RECEIVED  
CENTRAL FAX CENTER

NOV 17 2010 Serial No.: 10/007,364  
Attorney Docket No.: 2C22.1-021

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent No. 7,226,458 )  
Filed: November 5, 2001 )  
Inventor: KAPLAN, Aaron V. et al. )  
Serial No. 10/007,364 )  
For: METHODS AND APPARATUS FOR )  
TRANSPERICARDIAL LEFT ATRIAL )  
APPENDAGE CLOSURE )

RECEIVED

NOV 23 2010

OFFICE OF PETITIONS

USPTO  
RECEIPTS ACCOUNTING  
DIVISION  
2010 NOV 19 PM 4:16

NOTIFICATION OF LOSS OF SMALL ENTITY STATUS

Mail Stop POST ISSUE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

November 17, 2010

Via Facsimile  
571-273-8300

Sir:

Status as a small entity was established in good faith and small entity fees were paid in good faith. However, it has recently been discovered that small entity status was incorrect, and the several prosecution fees were mistakenly paid as a small entity in good faith. Accordingly, pursuant to 37 C.F.R. § 1.28, Applicant respectfully submits herewith an itemized listing of the payment made and a fee for the deficiency. Applicant respectfully requests that the Office excuse this error.

11/22/2010 DALLEN 88000038 10007364

01 FC:1599 1759.00 OP

12/21/2010 CKHLOK 00000004 10007364

01 FC:1559 1759.00 OP

Adjustment date: 12/21/2010 CKHLOK  
11/22/2010 DALLEN 88000038 10007364  
01 FC:1599 -1759.00 OP

RECEIVED  
CENTRAL FAX CENTERPATENT  
NOV 17 2010 Serial No.: 10/007,364  
Attorney Docket No.: 2C22.1-021

FEE PAID	DATE	FOR	CURRENT FEE FOR NON-SMALL ENTITY	DEFICIENCY
\$200.00	June 21, 2006	Excess Claims Fee	\$440.00	\$240.00
\$200.00	December 11, 2006	Excess Claims Fee	\$440.00	\$240.00
\$50.00	December 11, 2006	Excess Claims Fee	\$104.00	\$54.00
\$395.00	December 11, 2006	RCE Fee	\$810.00	\$415.00
\$700.00	March 22, 2007	Issue Fee	\$1510.00	\$810.00
		Total Fees Due:		\$1759.00

Enclosed herewith is a credit card payment form in the amount of \$1759.00 for payment of deficient fees. The Commissioner is authorized to charge any additional fees due or credit any overpayment to Deposit Account 50-1513.

Respectfully submitted,  
Gardner Groff Greenwald & Villanueva, PC

/Clark A.D. Wilson/  
Clark A.D. Wilson  
Reg. No. 55,732

Customer No. 23506  
GARDNER GROFF GREENWALD & VILLANUEVA, PC  
Tel: (770) 984-2300  
Fax: (770) 984-0098